



2161
#11
BS
12-19-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven W. Pettit, et al
Appl. No. : 09/753,910
Filing Date : 01/02/2001
Title : Immunization Tracking Method

Group Art Unit : 2161
Examiner : Unassigned

Docket No. : 706737.36 (264/055US)

RECEIVED
JUN 26 2003

GROUP 3600

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING
37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Dated:

June 20, 2003

Jodie Davis
Signature of Person Mailing Document

DOCSOC1:138977.1

Applicant : Steven W. Pettit, et al
Appl. No. : 09/753,910
Examiner : Unassigned
Docket No. : 706737.36 (264/055US)

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

Applicant : Steven W. Pettit, et al
Appl. No. : 09/753,910
Examiner : Unassigned
Docket No. : 706737.36 (264/055US)

STATEMENT UNDER 37 CFR § 1.97(e):

- ☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

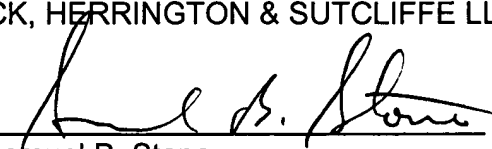
- ☐ A check in the amount of _____ is enclosed for the above fee(s).
- ☐ Please charge _____ to Deposit Account No. **15-0665** for the above fee(s).
- ☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit Account No. **15-0665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: June 20, 2003

By:


Samuel B. Stone
Reg. No. 19,297

ORRICK, HERRINGTON & SUTCLIFFE LLP
4 Park Plaza, Suite 1600
Irvine, CA 92614
949/567-6700 Telephone
949/567-6710 Facsimile

Please type a plus sign (+) in this box ☒

JUN 23 2003

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/753,910
				Filing Date	01/02/2001
				First Named Inventor	Steven W. Pettit
				Group Art Unit	2161
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	706737.36 (264/055US)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
	1	2001/0028308	A1	De La Huerga	10/11/2001	
	2	2002/0023441	A1	Bara et al.	2/28/2002	
	3	2002/0026330	A1	Klein	2/28/2002	
	4	2002/0027507	A1	Yarin et al.	3/7/2002	
	5	2002/0038392	A1	De La Huerga	3/28/2002	
	6	2002/0067263	A1	Tafoya et al.	6/6/2002	
	7	2002/0067270	A1	Yarin et al.	6/6/2002	
	8	2002/0188259	A1	Hickle et al.	12/12/2002	
	9	4,164,320		Irazoqui et al.	8/14/1979	
	10	5,078,683		Sancoff et al.	1/7/1992	
	11	5,104,374		Bishko et al.	4/14/1992	
	12	5,207,642		Orkin et al.	5/4/1993	
	13	5,317,506		Coutré et al.	5/31/1994	
	14	5,429,602		Hauser	7/4/1995	
	15	5,882,338		Gray	3/16/1999	
	16	5,920,054		Uber, III	7/6/1999	
	17	6,055,506		Frasca, Jr.	4/25/2000	
	18	6,104,295		Gaisser et al.	8/15/2000	
	19	6,110,152		Kovelman	8/29/2000	
	20	6,123,686		Olsen et al.	9/26/2000	
	21	6,221,051		Hjertman et al.	4/24/2001	
	22	6,355,024		Small et al.	3/12/2002	
	23	6,366,206		Ishikawa et al.	4/2/2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
	24	GB	2 309 801	A	Rogers	8/6/1997		
	25	WO	96/05522		Rosenfeld et al.	2/22/1996		
Examiner Signature						Date Considered		

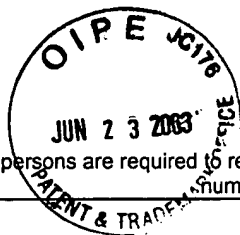
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DOCSOC1:137873.1 706737-36

Please type a plus sign (+) in this box ☒



PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/753,910
Filing Date	01/02/2001
First Named Inventor	Steven W. Pettit
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	706737.36 (264/055US)

Sheet 2 of 2

26	WO	98/21124		Bellum et al.	5/22/1998	RECEIVED JUN 26 2003 GROUP 3000
27	WO	99/10829		Sweeney et al.	3/4/1999	
28	WO	00/04480		Jones	1/27/2000	
29	WO	00/04521		O'Brien	1/27/2000	
30	WO	02/21425	A2	Abrams	3/14/2002	
31	WO	02/095675	A1	Hickle et al.	11/28/2002	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DOCSOC1:137873.1 706737-36